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1

PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/055,863 TRANSMITTAL TONIA OF THE Filing Date January 22, 2002 **FORM** First Named Inventor Engler, Heidrun FTRADE (to be used for all correspondence after initial filing) Group Art Unit Wilson (parent) **Examiner Name** 1633 Total Number of Pages in This Submission Attorney Docket Number 016930-000816US ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Preliminary Amendment and version Appeal Communication to Group Licensing-related Papers with markings to show changes made (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) ___ After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Return Postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Prew LLP and Joseph R. Snyder Reg. No. 39,381 Individual name Signature March 19, 2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 19, 2002 Typed or printed name Debra Ann DeBello

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Debra Ann DeBello

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Heidrun Engler et al.

Application No.: 10/055,863

Filed: January 22, 2002

For: COMPOSITIONS AND METHODS FOR ENHANCING DELIVERY OF THERAPEUTIC AGENTS TO CELLS Examiner Wilson (Parent)

Art Unit: 1633

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks.

IN THE SPECIFICATION:

Please replace the first paragraph on page 1, line 9 as follows:

This application is a continuation of U.S. Application No. 09/112,074, allowed, which is a continuation-in-part of U.S.S.N. 08/889,355, filed July 8, 1997, which is a continuation-in-part of U.S.S.N. 08/584,077, filed January 8, 1996, now U.S. Patent No. 5,789,244, each of which is incorporated by reference in its entirety for all purposes.

6